

**FY 2002 SPECIAL PROJECT GRANTS
CONCEPT PAPER DEVELOPMENT
REQUEST FOR APPLICATIONS
(APPLICATION B)**

**Applications Must Be
Received By
June 3, 2002**

Submit Applications to:

**Lisa Johnson, Grants Management Specialist
Food and Nutrition Service, USDA
Grants Management Division
WIC Special Project Concept Paper Development
3101 Park Center Drive, Room 738
Alexandria, VA 22302**

**SPECIAL SUPPLEMENTAL NUTRITION PROGRAM
FOR WOMEN, INFANTS, AND CHILDREN (WIC)**

**FY 2002 SPECIAL PROJECT GRANTS
REQUEST FOR CONCEPT PAPERS**

INTRODUCTION

The USDA Food and Nutrition Service (FNS) announces the availability of approximately \$180,000 in fiscal year (FY) 2002 funds. These funds will be used to develop preliminary FY 2002 concept papers into full grant proposals for FY 2003 WIC Special Project Grant funds. Up to 12 grants of \$15,000 each will be awarded to State Agencies by September 30, 2002. The actual number of concept paper awards will depend on how much of the FY 2002 funds are used to fund the FY 2002 WIC Special Project Grants.

The following steps outline the process that will be taken for the FY 2002 concept paper development and for awarding FY 2002 Special Project Grants:

- State Agencies will submit FY 2002 concept papers by June 3, 2002.
- The most promising concept papers will be selected for funding by September 30, 2002.
- Each State Agency selected for funding will partner with a social scientist who will be responsible for developing the concept paper into a full grant proposal.¹ The social scientist can come from a university, a consulting firm, or from within government. State Agencies are responsible for entering into and administering any necessary subcontracts and for ensuring that grant proposals are completed in time to submit for FY 2003 Special Project Grant funds (around June 2, 2003).
- The social scientist should work in conjunction with the State Agency and any participating local agencies to develop the concept paper into a full grant proposal. **FNS prefers, to the extent possible, that the social scientist will also be responsible for the evaluation of the Special Project if it is selected for FY 2003 funding.** This will help ensure continuity between the development of the grant proposal and the subsequent evaluation of the project.
- State Agencies that receive concept paper development funding **must** submit the fully developed grant proposals for consideration for FY 2003 Special Project Grants funds by the deadline for such proposals (around June 2, 2003) and grant funds for FY 2002 concept paper development must be expended by September 30, 2003.
- State Agencies that do not submit a concept paper or whose concept papers are not selected for funding will still be allowed to submit proposals for FY 2003 Special Project Grants funds.
- FNS will use a competitive award process to select grant proposals for funding with FY 2002 Special Project Grants money.

¹ A further description of a Social Scientist is contained in Attachment 1.

WHO MAY APPLY

As specified in legislation, these grants are only available to the 88 WIC State Agencies responsible for administering WIC in the States, Territories, and Indian Tribal Organizations (ITOs). Concept paper development funds may be awarded to individual State Agencies or to a consortium of State Agencies. FNS encourages smaller State Agencies or ITO's to consider collaborating with other State Agencies on concept papers. Each State Agency may only submit one concept paper. If a State Agency submits a concept paper as part of a consortium of agencies, it may not also submit a separate individual concept paper. State Agencies that apply for FY 2002 funding for the WIC Special Project Grants (Application A) **are also allowed** to submit concept papers for FY 2002 funding. State Agencies are allowed to receive funding for both concept paper development and for the WIC Special Project Grants.

CONCEPT PAPER APPLICATION FORMAT

State agencies should submit a narrative concept paper that provides the following information:

- name, address, and phone number of the appropriate State Agency contact person,
- a description of the problem that the proposed project addresses and how the expected results of the project can be used to improve program services at either the regional or national level,
- potential contribution of the project to the theme of "Revitalizing Quality Nutrition Services in WIC". Details on the focus area are contained in Attachment 2.
- project goals and objectives,
- essential features of the project design including a description of how the project will be accomplished and the goals achieved, and
- a description of how the \$15,000 grant will be used to develop the concept paper into a full grant proposal and what oversight will be provided for ensuring that the grant proposal is completed in time to submit for FY 2002 Special Project Grant funds.

Concept papers should meet the following formatting guidelines:

- **five page maximum length**, exclusive of any letters of commitment or support and any resumes or curriculum vitas,
- 8 ½" by 11" paper,
- single-spaced text,
- at least 1" margins on top, bottom, and sides,
- 12 point font size,
- numbered pages, and
- printing on one side of page only.

FOCUS AREA FOR CONCEPT PAPERS

Attachment 2 outlines the Focus Area for the FY 2002 Concept Papers. All concept papers **must** fall within this Focus Area. Concept papers which do not fit into this Focus Area will not be evaluated further.

EVALUATION OF CONCEPT PAPERS

FNS will convene a panel to review each concept paper. The papers will be evaluated against the following criteria:

- The significance of the problem to be addressed is demonstrated and specific gaps or weaknesses in regional or national services have been identified and will be addressed through the proposed project. **(20 points)**.
- Potential contribution of the project to the theme of "Revitalizing Quality Nutrition Services in WIC". **(50 points)**.
- Uniqueness and innovation of project goals, objectives, and design. **(30 points)**.

APPLICATION PROCEDURES

The complete application package must be received by FNS no later than 5:00 p.m., Monday, June 3, 2002. In addition to an original and two copies of the concept paper, the following forms should be enclosed:

STANDARD FORMS (Original and 2 copies)

- _____ Standard Form 424, Application for Federal Assistance
- _____ Standard Form 424A, Budget Summary
- _____ Standard Form 424B, Assurances - Non-Construction Programs

CERTIFICATIONS (Original and 2 copies)

- _____ Certification Regarding Non-Procurement Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions, AD-1047
- _____ Certification Regarding Non-Procurement Debarment, Suspension, and Other Responsibility Matters - Lower-Tier Transactions, AD-1048²
- _____ Certification Regarding Drug-Free Workplace Requirements, AD-1049
- _____ Certification Regarding Lobbying - Contracts, Grants, Loans, and Cooperative Agreements

²The AD-1048 is included for any Lower-Tier Transactions and must be submitted to the primary grantee, not to FNS.

One copy of each application document **must** be ready for copying, that is single-sided, unstapled, unbound, on 8 ½" X 11" paper, and single spaced. State Agencies must mail or deliver applications to:

Lisa Johnson, Grants Management Specialist
USDA Food and Nutrition Service
Grants Management Division
3101 Park Center Drive, Room 738
Alexandria, VA 22302

**ALL APPLICATIONS MUST BE RECEIVED BY FNS
NO LATER THAN 5:00 PM ON MONDAY, JUNE 3, 2002
FAXED APPLICATIONS WILL NOT BE ACCEPTED**

SOCIAL SCIENTIST

Social scientists are found in universities, consulting firms, and within government. Most, but not all, have Doctorate degrees. Common activities of social scientists include:

- designing research and survey projects, including formulating project objectives, hypotheses, and plans for testing hypotheses,
- gathering quantitative and/or qualitative data through methods such as interviews, record abstraction, questionnaires, and focus groups in order to test hypotheses,
- applying analytical methods to data, such as determining patterns, trends, or irregularities,
- writing articles based on project findings for publication in peer-reviewed journals, and
- presenting results, methods, and findings at professional conferences.

FISCAL YEAR 2002 WIC SPECIAL PROJECT GRANTS

FOCUS AREA FOR CONCEPT PAPERS

REVITALIZING QUALITY NUTRITION SERVICES (RQNS)

FNS has selected a focus area for the WIC concept papers in FY 2002 in consultation with the National WIC Association and WIC States Agencies. Proposals that do not fit into the focus area will not be evaluated further.

Revitalizing Quality Nutrition Services in the WIC Program (RQNS)

RQNS is an evolving process of continuous program improvement for better customer service at the Federal, State, and local levels of WIC that is refocusing attention on nutrition services as one of the fundamental benefits of WIC participation. WIC staff excel in many aspects of nutrition services, but more can be done in order to deliver quality nutrition services that effectively have an impact on participant behavior change. This process grew out of the findings of several WIC studies that showed that WIC needs to: 1) strengthen its nutrition education component; 2) adopt a more behavioral approach in nutrition counseling; 3) be more client oriented; and 4) focus on healthy behavior for life. RQNS activities will enhance and strengthen the effectiveness of WIC nutrition services in helping participants to achieve and maintain optimal nutritional status. WIC can have a positive impact on the health of program participants by providing participants with what they need, want and can use to meet the public health challenges of today.

The process of RQNS can help WIC maintain its position as the premier public health nutrition program.

Possible projects that address the RQNS focus area include, but are not limited to, proposals that:

- Fund, implement and evaluate innovative changes in the clinic environment/services that promote the relationship between nutrition and health, respond to emerging health issues such as obesity prevention and breastfeeding promotion, and involve community partners and resources.
- Design and implement an assessment and training model for local agency WIC staff to address a population-specific nutrition services initiative that utilizes a client-oriented behavioral approach to improving participant outcomes and evaluate its effectiveness.

**WIC SPECIAL PROJECT GRANTS- FY 2002
WIC CONCEPT PAPERS
TECHNICAL EVALUATION SHEET**

STATE AGENCY: _____

Reviewer's Name: _____

Reviewer's Signature: _____

Date: _____

TECHNICAL EVALUATION CRITERIA	MAX	SCORE
1. The significance of the problem to be addressed is demonstrated and specific gaps or weaknesses in regional or national services have been identified and will be addressed through the proposed project.	20	_____
2. Potential contribution of the project to the theme of "Revitalizing Quality Nutrition Services in WIC".	50	_____
3. Uniqueness and innovation of project goals, objectives, and design.	30	_____
	Grand Total	_____

1. The significance of the problem to be addressed is demonstrated and specific gaps or weaknesses in regional or national services have been identified and will be addressed through the proposed project

Score = _____

STRENGTHS

WEAKNESSES

2. Potential contribution of the proposed project to the theme of "Revitalizing Quality Nutrition Services in WIC".

Score= _____

STRENGTHS

WEAKNESSES

3. Uniqueness and innovation of project goals, objectives, and design.

Score = _____

STRENGTHS

WEAKNESSES

GRANT AGREEMENT

GENERAL TERMS AND CONDITIONS

U.S. DEPARTMENT OF AGRICULTURE
FOOD AND NUTRITION SERVICE

Public Law 105-394 authorizes the United States Department of Agriculture (USDA) to provide a grant to the _____ State WIC Program (Grantee) for the WIC Special Project Grant Concept Paper Development.

The Grantee has been designated by FNS in order to manage this Grant Agreement, and it is considered to be the Grantee herein.

The agreement period shall begin on September 30, 2002 and extend to June 30, 2003.

The parties hereby mutually agree with each other as follows:

A. **THE GRANTEE AGREES:**

1. To conduct the grant project as described in the Request for Applications and your approved proposal.
2. The state agency shall submit a bi-yearly Financial Status Report, SF-269A, on the use of funds provided under this agreement. An original and two copies of the Report must be submitted to: Lisa Johnson, Financial Management, Grants Management Division, Food and Nutrition Service, 3101 Park Center Drive, Room 738, Alexandria, Virginia 22302, 30 days after the close of each Federal fiscal year quarter in accordance with 7 CFR 3016.4(b), until the grant agreement is closed out. A final financial status report will be required upon expiration of the grant agreement and not later than 90 days after the expiration or termination of the grant agreement.
3. The State Agency shall submit a semi-annual progress report which describes in detail:
 - A description of progress to date,
 - Major problems or delays encountered and how they will be resolved,
 - Additional detail on work planned for the upcoming quarter, and
 - Any other pertinent information.

Any problems, delays or adverse conditions that impair the ability to meet the objectives should be reported to FNS immediately. The notification should include information on any action taken or contemplated.

Due dates for Quarterly Progress Reports are as follows:

- | | | |
|----|-----------------------|----------------|
| 1) | First Progress Report | April 28, 2003 |
| 2) | Grant Proposal | June 3, 2002 |
| 3) | Final Report | July 31, 2003 |

An original and two copies of the Progress Reports shall be submitted to:

Lisa Johnson, Grants Officer
 Grants Management Branch
 Food and Nutrition Service, USDA
 3101 Park Center Drive, Room 738
 Alexandria, VA 22302

4. To manage the program consistent with legislation, administrative rules, regulations, and procedures of the State, including coordination and approval of all accounting procedures and with applicable Federal administrative requirements contained in OMB Circulars A-21, A-87, A-122, and A-133 and the regulations implementing them. Also, adherence is required to the 7 CFR Par 3015, 3016, 3017, 3018, and 3019 and 41 CFR 1-15.2 (FAR Part 31).
5. That the Grantee is authorized to utilize subcontractors on this Grant Agreement. It is understood that the subcontractor(s) shall follow the same requirements and regulations as the Grantee. FNS may review any such grant agreement, subgrants, subagreements, or subcontracts entered into with other entities.
6. The Grantee will partner with a Social Scientist who will be responsible for developing the concept paper into a full grant proposal. The Social Scientist can come from a university, a consulting firm, or from within government.
7. The Grantee shall submit a fully developed grant proposal for consideration for FY 2003 WIC Special Project Grant funds by the deadline for such proposals. The full grant proposal will be developed without the provision of technical assistance of FNS.

B. THE DEPARTMENT OF AGRICULTURE, FNS AGREES:

1. That the fully developed grant proposal submitted by the State Agency for consideration for FY 2003 WIC Special Project Grant funds will be considered within the scope of allowable topics for such funds.

C. THE GRANTEE AND FNS MUTUALLY AGREE:

1. That the Grantee may seek and apply for funds from other sources, in support of the mission of the program, and shall ensure that externally funded projects reflect Federal regulations and policies.
2. That this Grant Agreement may be amended in writing at any time by mutual agreement between the parties. If the Grantee wishes to submit a revised budget and work description, a justification shall be included. This material must be submitted to FNS at a minimum of 90 days prior to the end of the Grant Agreement Period.

3. That FNS may unilaterally terminate the Grant Agreement for reasons of non-performance upon written notice to the Grantee in conformance with the requirements of 7 CFR 3016.
4. In addition to the remedies for noncompliance specified at 7 CFR Part 3016.43, FNS may recover, withhold or cancel payment up to 100 percent of the funds made available under this agreement if an approved State agency fails to abide by the terms of this agreement.
5. The period of the grant shall be from September 30, 2002 to June 30, 2003. Funds not obligated within the grant agreement period must be returned to FNS by July 31, 2003.
6. The Grantee agrees not to seek any financial recourse from USDA as a result of any liabilities the grantee and designated State Agency might incur for bodily injury or personal property damage resulting from negligent acts, errors, or omissions of the State Agency, its officers, agents or employees, or if applicable its subrecipients or their officers, agents, or employees, in performing this agreement. Liabilities of the United States are governed by the Federal tort Claims Act (FTCA), 28 U.S.C. 2671 et seq.
7. That any activities under the auspices of the program will be consistent with the mission of the program and will be executed through the designated State Agency.
8. The fully developed grant proposal submitted for FY 2003 WIC Special Project Grant funds will be evaluated through a competitive award process and is not guaranteed FY 2003 funding.